

1 SIMPSON THACHER & BARTLETT LLP
Jeffrey E. Ostrow (SBN No. 213118)
2 *jostrow@stblaw.com*
3330 Hillview Avenue
3 Palo Alto, California 94304
Telephone: (650) 251-5000
4 Facsimile: (650) 251-5002

5 Attorneys for Defendant
JPMORGAN CHASE & CO.
6
7
8

9 UNITED STATES DISTRICT COURT
10 FOR THE NORTHERN DISTRICT OF CALIFORNIA
11 SAN FRANCISCO DIVISION

12 CITY OF OAKLAND, CALIFORNIA,

13 Plaintiff,

14 v.

15 AIG FINANCIAL PRODUCTS CORP.; AIG
16 SUNAMERICA LIFE ASSURANCE CO.;
17 BANK OF AMERICA CORPORATION;
18 BANK OF AMERICA, N.A.; BEAR
19 STEARNS COMPANIES, INC.; CAIN
20 BROTHERS & COMPANY, LLC; CDR
21 FINANCIAL PRODUCTS, INC.; FELD
22 WINTERS FINANCIAL, LLC; FINANCIAL
23 GUARANTY INSURANCE CO.;
24 FINANCIAL SECURITY ASSURANCE
25 HOLDINGS, LTD.; FIRST SOUTHWEST
26 COMPANY; GE FUNDING CAPITAL
27 MARKET SERVICES, INC.; GENWORTH
28 FINANCIAL, INC.; GEORGE K. BAUM &
COMPANY; INVESTMENT
MANAGEMENT ADVISORY GROUP, INC.;
JPMORGAN CHASE & CO.; JPMORGAN
CHASE BANK, N.A.; KINSELL NEWCOMB
& DE DIOS, INC.; LEHMAN BROTHERS
INC.; MERRILL LYNCH & CO., INC.;
MORGAN KEEGAN & CO., INC.;
MORGAN STANLEY; NATIONAL
WESTMINSTER BANK plc; NATIXIS, S.A.;
PACKERKISS SECURITIES INC.; PIPER
JAFFRAY & CO.; SECURITY CAPITAL
ASSURANCE, INC.; SHOCKLEY
FINANCIAL CORP.; SOCIÉTÉ GÉNÉRALE;
SOUND CAPITAL MANAGEMENT, INC.;
TRINITY FUNDING COMPANY, LLC;

Case No. C 08 2116 (JSW)

**CERTIFICATION OF INTERESTED
ENTITIES OR PERSONS PURSUANT
TO CIVIL L.R. 3-16**

CERTIFICATION OF INTERESTED ENTITIES OR PERSONS

1 UBS AG; UBS SECURITIES LLC; UBS
2 FINANCIAL SERVICES INC.; WACHOVIA
3 BANK, N.A.; WACHOVIA CORPORATION;
4 WINTERS & CO. ADVISORS, LLC; XL
ASSET FUNDING COMPANY 1 LLC; XL
CAPITAL, LTD.; and XL LIFE INSURANCE
& ANNUITY COMPANY,

5 Defendants.

6
7 Pursuant to Civil L.R. 3-16, the undersigned certifies that as of this date, other than
8 the named parties, there is no such interest to report.

9 Dated: May 27, 2008

10 SIMPSON THACHER & BARTLETT LLP

11
12 By /s/ Jeffrey E. Ostrow
13 Jeffrey E. Ostrow (SBN No. 213118)
jostrow@stblaw.com
14 SIMPSON THACHER & BARTLETT LLP
15 3330 Hillview Avenue
16 Palo Alto, California 94304
Telephone: (650) 251-5000
Facsimile: (650) 251-5002

17 Attorneys for Defendant
18 JPMORGAN CHASE & CO.
19
20
21
22
23
24
25
26
27
28

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

CERTIFICATION OF INTERESTED ENTITIES OR PERSONS

1